



In Re Mexican Government Bonds Antitrust Litigation

ELECTRONIC CLAIMS FILING GUIDELINES



A.B. DATA, LTD.

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Overview

Electronic Claims Filing applies to institutions or entities that are filing multiple Proof of Claim and Release forms on behalf of themselves and/or others, as well as individuals or entities that are filing Proof of Claim and Release forms with a large number of transactions (50+).

Electronic Claims Filings are subject to rejection if not prepared in compliance with these Electronic Claims Filing Guidelines.

PLEASE NOTE: No Electronic Claims Filings will be considered to have been properly submitted unless the Settlement Administrator issues to the filer a written acknowledgment of receipt and acceptance of electronically submitted data.

Electronic Filing Requirements

I. Prepare a cover letter:

- A cover letter **MUST** be included with the Electronic Claims Filing Template for Third Party Filers, Brokers and Nominees. The cover letter must include an Affidavit attesting your entity has the legal right and authorization. Please see the Authorization Requirements as set forth in Appendix A.

II. Prepare a data file:

- An Excel spreadsheet or other electronic file containing account information and transactional data **MUST** be prepared in accordance with A.B. Data, Ltd.'s Electronic Claims Filing Template Mapping Instructions found in Appendix B. The following formats are acceptable: a) MEDIA: CD, DVD, and flash drive and b) DATA: ASCII, MS Excel, and MS Access. For your convenience, an Excel spreadsheet template is available for your use and may be downloaded from the settlement website.
- If you cannot provide the information in the aforementioned formats or you have other requests, questions, concerns, or comments, please email A.B. Data, Ltd., at efiling@abdata.com or you may call 800-949-0194 to speak to an Electronic Claims Filing Specialist.

III. Mail your executed master Proof of Claim and Release form with a cover letter and media format to:

Mexican Government Bonds Antitrust Settlement
Attention: Electronic Claims Department



c/o A.B. Data, Ltd.
3410 West Hopkins Street
Po Box 173123
Milwaukee, WI, 53217-8091

Documentation Requirements

A.B. Data, Ltd., reserves the right to request additional documentation at any time after your Proof of Claim and Release form and file have been received and processed. The documentation provided should be sufficient to verify the validity and accuracy of the data provided in the file.

If you provided a letter/affidavit attesting to the truth and accuracy of your data when initially submitting your file, A.B. Data may still require specific documentary evidence (trade confirmations, monthly statement, or equivalent) to verify the details of your transactions and/or holdings.

Claim Balancing

Negative values may only be given for short opening or closing positions. All other transactions must be positive values.



Appendix A

Authorization Requirements

- 1 **If you are a nominee** filing on behalf of your account holders, you **must** include the following documentation:
 - .. Affidavit attesting that your entity has legal rights and authorization from your account holders to file a Claim Form on their behalf **and** that your account holders understand that they are bound by and subject to the terms of all Releases that may be entered in these Settlements, etc.; **and**
 - 1.1.1 Authorization to sign on your account holders' behalf.
- 1.2 **If you are a third party** filing on behalf of a nominee, you **must** include an Affidavit attesting to the following:
 - 1.2.1 Your entity has the legal right and authorization from the nominee to file and sign any Claim Forms on their behalf; **and**
 - 1.2.2 Your entity has the legal right and authorization from the nominee's account holders to file and sign any Claim Forms on their behalf; **and**
 - 1.2.3 The account holders understand they are bound by and subject to the terms of all Releases that may be entered in the *Mexican Government Bonds Antitrust Litigation*.
- 1.3 **If you are a third party** filing on behalf of another party, you **must** include an Affidavit attesting to the following:
 - 1.3.1 Your entity has the legal right and authorization from the other party to file and sign any Claim Forms on its behalf; **and**
 - 1.3.2 The other party understands it is bound by and subject to the terms of all Releases that may be entered in the *Mexican Government Bonds Antitrust Litigation*.



Appendix B

Template Mapping Instructions – Transaction Detail Available

Column	Field Name	Max Length	Mexican Government Bonds FIELD DESCRIPTION
A	Account Identifier	40	Account identifier from cover page of filing.
B	Beneficial Owner Account Name	40	Short Name of Beneficial Owner, for printing/ mailing purposes You MUST also provide the correct, complete, unabbreviated name of the beneficial owner in Column C of your submission..
C	Full Name of the Beneficial Owner	40	Full Name of Beneficial Owner MUST be the correct, complete, unabbreviated name of the beneficial owner. Do not include honorifics, e.g., Mr., Mrs., Ms., Dr., Capt., Sgt. Please note: A trustee, in that capacity, is not the beneficial owner of the security; the full name of the trust should be entered followed by the name(s) of the trustee(s). A comma should separate the name of the trust and the name(s) of the trustee(s). YOUR SUBMISSION MAY BE REJECTED IF THIS INFORMATION IS NOT INCLUDED..
D	TIN of the Beneficial Owner	9	Taxpayer identification number (TIN) for beneficial owner, no spaces and no dashes.
E	Beneficial Owner TIN Type (E/S/U/F)	1	E = Employer Identification Number (EIN); S = Social Security Number (SSN); U = Unknown; F = Foreign.
F	Care of:	40	Name of the entity to which correspondence and distributions should be mailed.
G	Attn:	40	Name of the person to whose attention correspondence and distributions should be mailed.
H	Street 1	40	Street Address 1 for correspondence and distributions mailing.
I	Street 2	40	Street Address 2 for correspondence and distributions mailing.
J	City	25	City for correspondence and distributions mailing.
K	State	2	State for correspondence and distributions mailing.
L	Zip Code	5	Zip code for correspondence and distributions mailing.
M	Province	40	Province for correspondence and distributions mailing.
N	Country	40	Country for correspondence and distributions mailing.
O	TIN of the Claimant	9	Taxpayer identification number (TIN) for claimant (if Claimant is not Beneficial Owner), no spaces and no dashes.
P	ISIN	20	The security identifier
Q	MGB Issuer	20	List who issued the bond - of the United Mexican States ("Mexico")
R	Transaction Type (P/S)	2	P = Purchase; S = Sale
S	Trade Date (MM/DD/YYYY)	10	(MM/DD/YYYY) MM = Month; DD = Day; YYYY = Year
T	Maturity Date (MM/DD/YYYY)	10	The final date of the bond. (MM/DD/YYYY) MM = Month; DD = Day; YYYY = Year.
U	Notional Amount/Face Value (Peso)	40	List the Notional Value/Face Value of the MGB
V	Price/Par Value Per Unit (Peso)	40	List the price that applied to the GSE Bond Transaction.
W	Name of Counterparty	20	List the Claimant's counterparty (i.e., Defendant).
X	Trade Location	20	List the Country from where Claimant's counterparty entered into the GSE Bond Transaction.
Y	Total Price for Transaction	20	List the total price paid for the bond.



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